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PATENT

04/26/99  
JCS42 U.S. PTO

Commissioner of Patents and Trademarks  
BOX NON-PROVISIONAL PATENT APPLICATION  
Washington, D.C. 20231

JCS42 U.S. PTO  
09/299109  
04/26/99

### NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of  
Inventor(s): Antonius Paul Leo Maria WINTERS

For (title):

Method and Device for Cleaning a Dirty Surface

#### 1. Type of Application

This new application is for a(n):

- ☐ Original
- ☐ Design
- ☐ Plant
- ☐ Divisional
- ☒ Continuation
- ☐ Continuation-in-part (CIP)

#### 2. Benefit of Prior U.S. Application(s) (35 USC 120)

- ☒ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

#### 3. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

- 22 Pages of specification
- 15 Pages of claims
- 1 Pages of Abstract
- 8 Sheets of drawing
  - ☒ formal
  - ☐ informal

#### 4. Additional papers enclosed

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449
- ☐ Citations

- ☐ Declaration of Biological Deposit  
☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative  
☐ Special Comments  
☐ Other

**5. Declaration or oath**

☐ Enclosed

executed by

☐ inventor(s).

☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43.

☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.

☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See item 13 below for fee.

☒ Unexecuted Declaration Enclosed.

☒ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above named inventor(s). The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently.

☒ Showing that the filing is authorized.

**6. Inventorship Statement**

The inventorship for all the claims in this application are:

☒ The same

or

☐ Are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,

☐ is submitted.

☐ will be submitted.

**7. Language**

☒ English

☐ non-English

☐ the attached translation is a verified translation. 37 CFR 1.52(d).

**8. Assignment**

☒ An assignment of the invention to H.T. Research B.V.

☐ is attached.

☒ will follow.

**9. Certified Copy**

Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)

from which priority is claimed

\_\_\_\_\_ is(are) attached.

\_\_\_\_\_ will follow.

**10. Fee Calculation (37 CFR 1.16)**

**A. X Regular application**

**CLAIMS AS FILED**

	Number filed		Number Extra			Rate	Basic Fee
							\$760.00
Total Claims	39	-	20	=	19	x \$18.00 =	342.00
Independent Claims (37 CFR 1.16(b))	2	-	3	=	0	x \$74.00 =	0
Multiple dependent claim(s), if any (37 CFR 1.16(d))						\$230.00	

\_\_\_\_\_ Amendment cancelling extra claims enclosed.

\_\_\_\_\_ Amendment deleting multiple dependencies enclosed.

\_\_\_\_\_ Fee for extra claims is not being paid at this time.

Filing Fee Calculation

\$1102.00

**B. \_\_\_\_\_ Design application**

(\$290.00 - 37 CFR 1.16(f))

Filing Fee Calculation

\$ \_\_\_\_\_

**C. \_\_\_\_\_ Plant application**

(\$480.00 - 37 CFR 1.16(g))

Filing Fee Calculation

\$ \_\_\_\_\_

**11. Small Entity Statement(s)**

\_\_\_\_\_ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached.  
Filing Fee Calculation (50% of A, B or C above) \$\_\_\_\_\_

**12. Request for International-Type Search (37 CFR 1.104(d))**

\_\_\_\_\_ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

**13. Fee Payment Being Made At This Time**

\_\_\_\_\_ Not Enclosed

\_\_\_\_\_ No filing fee is to be paid at this time.

  X   Enclosed

  X   basic filing fee \$ 1,102.00

\_\_\_\_\_ recording assignment  
(\$40.00; 37 CFR 1.21(h)) \$

\_\_\_\_\_ petition fee for filing by  
other than all the inventors  
or person on behalf of the  
inventor where inventor  
refused to sign or cannot  
be reached. (\$130.00;  
37 CFR 1.47 and 1.17(h)) \$\_\_\_\_\_

\_\_\_\_\_ for processing an application  
with a specification in a  
non-English language.  
(\$130.00; 37 CFR 1.52(d)  
and 1.17(k)) \$\_\_\_\_\_

\_\_\_\_\_ processing and retention  
fee (\$130.00; 37 CFR  
1.53(d) and 1.21(l))

\_\_\_\_\_ fee for international-type  
search report (\$35.00;  
37 CFR 1.21(e)) \$\_\_\_\_\_

TOTAL \$ 1,102.00

**14. Method of Payment of Fees**

  X   Check in the amount of \$ 1,102.00

\_\_\_\_\_ Charge Account No. 10-1202 in the amount of \$\_\_\_\_\_. A duplicate of this transmittal is attached.

15. Authorization to Charge Additional Fees

  X   The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 10-1202:

- X   37 CFR 1.16(a), (f) or (g) (filing fees)
- X   37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
- X   37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- X   37 CFR 1.17 (application processing fees)
- 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

16. Instructions As To Overpayment

       credit Account No. 10-1202

  X   refund

Date: April 26, 1999

Respectfully requested,

By: James B. Raden  
James B. Raden  
Attorney for Applicant  
Reg. No. 24,594  
JONES, DAY, REAVIS & POGUE  
77 West Wacker Drive  
Chicago, Illinois 60601-1692  
(312) 782-3939

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date April 26, 1999 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL 258171790 US addressed to the: Commissioner of Patents and Trademarks, Box Patent Application, Washington, D.C. 20231.

Patricia Ann Merrill

Date: April 26, 1999

Patricia Ann Merrill  
(Signature of person mailing paper)

ADDED PAGES FOR NEW TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATION(S) CLAIMED

17. Relate Back - 35 U.S.C. 120

☒ Amend the Specification by inserting the first line the sentence:

"This is a

☒ continuation

☐ continuation-in-part

☐ divisional

of copending application(s)

☐ serial number \_\_\_\_\_ filed on \_\_\_\_\_."

☒ International Application PCT/NL97/00566 filed on October 10, 1997 and which designated the U.S."

18. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

☒ This application discloses and claims additional disclosure by incorporation and a new declaration or oath is being filed. With respect to the prior application the inventor(s) in this application are

☒ the same

☐ the following inventor(s) have been added

The inventorship for all the claims in this application are

☒ the same

☐ not the same, and an explanation, including the ownership of the various claims at the time of the last claimed invention was made

☐ is submitted

☐ will be submitted.